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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/780,025

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EXAMINER

WALKER, KEITH D

ART UNIT

PAPER NUMBER

1795

MAIL DATE

DELIVERY MODE

03/30/2009

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/780,025	Applicant(s) GU ET AL.	
	Examiner KEITH WALKER	Art Unit 1795	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 18 March 2009.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,2,5-23 and 51-74 is/are pending in the application.
- 4a) Of the above claim(s) 13 and 14 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1,2,5-12,15-23 and 51-74 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date <u>3/18/09</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114 was filed in this application after appeal to the Board of Patent Appeals and Interferences, but prior to a decision on the appeal. Since this application is eligible for continued examination under 37 CFR 1.114 and the fee set forth in 37 CFR 1.17(e) has been timely paid, the appeal has been withdrawn pursuant to 37 CFR 1.114 and prosecution in this application has been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on 3/18/09 has been entered.

Response to Amendment

Claims 24-50 are cancelled and claims 51-74 are new. Claims 1, 2, 5-23 & 51-74 are pending in the application with claims 13 & 14 withdrawn for being a non-elected invention.

Claims 1, 2, 5-12, 15-23 & 51-74 are pending examination as discussed below.

Information Disclosure Statement

The information disclosure statement filed on 3/18/09 has been placed in the application file and some of the information referred to therein has been considered as to the merits. The CN 1394365 A was not considered because an English translation was not provided by applicant.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

1. Claims 1, 2, 5-12, 15-21, 23 & 51-74 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Publication 2003/0235735 (Miyazawa) in view of US Patent 5,432,023 (Yamada).

Miyazawa teaches an electrochemical cell having: a membrane electrode assembly (MEA) comprising an anode and cathode (Figure 1, #20); an electroconductive element comprising an impermeable electrically conductive element (ECE) having a major surface facing the cathode (Figure 1, #4b) and a porous liquid distribution media (LDM) disposed along the major surface defining flow channels for transporting gas and liquid to and from the cathode (Figure 2, #14). An electrically conductive fluid distribution layer (FDL) is disposed between the liquid distribution media and the cathode for transporting gases and liquids between the cathode and the flow channels (Figure 1, #21b). The FDL and LDM are constructed and arranged to transport liquids accumulating within the cathode through the FDL to the LDM. The ECE and LDM are arranged together to define the flow channels. The LDM forms an electrically conductive path between the ECE and FDL. The LDM is more hydrophilic than the FDL, overlies substantially the entire major surface of the ECE, and is disposed in regions along the major surface defining separate spaced-apart flow channels. The

Art Unit: 1795

LDM has an undulated configuration of peaks and valleys and internally redistributes liquid water. The electroconductive element also comprises a second ECE having a second surface facing the anode, a second LDM along regions of the second surface, and a second FDL disposed between the electroconductive element and anode and in contact with the second LDM. The LDM is composed of a conductive hydrophilic material, for example carbon black. The porous fluid distribution layer (FDL) is in physical contact and fluid communication with an electrode and the porous LDM layer is more hydrophilic than the FDL and draws water from the electrode through the FDL (Page 2, [0018]–[0029]; Page 3, [0033], [0036], [0037]; Page 5, [0056], [0057]).

Miyazawa is silent to the size of the pores for the fluid distribution layer and the liquid distribution layer.

Yamada also teaches a fuel cell system with an impermeable metal separator and layers of conductive porous material with differing pore sizes (10:40-60, 16:25-40). Having materials with two different pore sizes pulls the liquid in the direction of the smaller pores. By varying the pore diameter, the rate or force with which the liquids are drawn in the direction of decreasing pore diameter can be changed. With respect to the cathode, the conductive porous material next to the cathode will have a pore diameter larger than the porous material next to the separator so the water is pulled away from the cathode (39:2-27). The pore sizes dictate what liquid or gas is passed through the structure and which direction the liquid or gas passes (24:14-20, 39:5-10). The size of the pores is dependent on the material used as the porous layer and the type of fluid to be transported by the pores. Yamada teaches pore sizes of 30 microns and a formula,

Art Unit: 1795

such that the pore sizes can be varied to optimize factors such as the fluid travel speed and the fluid volume transported (39:15-50). A nickel mesh is used for the conductive material (47:35-45).

Therefore it would have been obvious to one of ordinary skill in the art at the time the claimed invention was made to modify the porous layers of Miyazawa with the pore size structures taught by Yamada to improve the efficiency of the fuel cell by pulling the by-product waste, such as water, away from the electrodes to produce a more efficient fuel cell. The pore sizes can be adjusted for the material used, the application and the force with which the fluid will be withdrawn from the electrode material by applying the formula taught (39:30-40).

Regarding claims 17, 19, 21, 23, 59, 61, 63, 71, 73 & 74 these claims are product-by-process limitations and even though product-by-process claims are limited by and defined by the process, determination of patentability is based on the product itself. "The patentability of a product does not depend on its method of production. If the product in the product-by-process claim is the same as or obvious from a product of the prior art, the claim is unpatentable even though the prior product was made by a different process" (MPEP 2113). While these limitations have been considered, they have not been given patentable weight. The final product as taught by Miyazawa and Yamada as discussed above is obvious over the product of the instant application. The method of forming the device is not germane to the issue of patentability of the device itself. Furthermore, the processes of spraying, coating, casting and sintering to form liquid distribution media and other fuel cell components are all well-known to one of

ordinary skill in the art. Combining prior art elements according to known methods to yield predictable results and using known techniques to improve similar devices in the same way are considered obvious to one of ordinary skill in the art (KSR, MPEP 2141 (III)).

2. Claim 22 is rejected under 35 U.S.C. 103(a) as being unpatentable over Miyazawa et al. (US 2003/0235735) and US Patent 5,432,023 (Yamada), as applied to claim 1 above, further in view of Davis (US 2002/0001743).

Miyazawa and Yamada teach the elements of claim 1 as discussed above but fail to teach the impermeable electrically conductive element formed of Al, Ti, stainless steel, or alloys or mixtures thereof.

Davis teaches that forming bipolar plates using metals with high electrical and thermal conductivity, such as Al, Cu, and Ti, results in plates with electrical conductivity 500 times better and thermal conductivity double that of graphite. This can reduce the effect of localized heating due to areas of localized high current density and voltage drop, such as membrane dry-out (Page 2, [0007], [0008]).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made would have used a bipolar plate made of Al or Ti as taught by Davis in the electrochemical cell as taught by Miyazawa and Yamada in order to reduce localized heating caused by areas of high current density and large voltage drop.

Response to Arguments

Applicant's arguments filed have been fully considered but they are not persuasive.

Regarding applicant's arguments that are 'incorporated by reference' from the Appeal Brief of 2/28/07 and the Reply Brief of 9/11/07, the following is the answer to those arguments, as presented in the Examiners Answer.

Concerning all the arguments presented by appellant, appellant's arguments against the references individually, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986).

A. Regarding Appellant's arguments of independent claim 1, appellant argues the modification of Miyazawa with the teachings of Yamada would render the Yamada reference inoperable. However, the rejection presented over the claimed invention is based on the teachings of Miyazawa in view of the teachings of Yamada. Elements appellant argues are not taught by the Yamada reference, such as the use of porous material inside the active regions of the fuel cell, the electrical conductivity of said porous materials and the bifurcating porous layers, are already taught by the Miyazawa reference as discussed in the previous office actions and restated in the arguments below. The Yamada reference is used to teach the sizes of the pores for the two layers. Yamada teaches using capillary action and porous materials to supply the electrodes with reactants and remove waste products (i.e., water) from the electrodes.

Art Unit: 1795

With respect to the cathode electrode, Yamada teaches locating two porous layers next to the cathode and the pore sizes of the layers are varied from a larger size to a smaller size in the direction the liquid is desired to travel (i.e. away from the cathode) (9:67-10:17, 10:39-59).

As stated above, appellant's allegation that the "proposed modification to make the LDM conductive would render Yamada inoperable for its intended purpose" (page 7 of Brief) is not the basis of the rejection. The modification presented in the rejection was not to make the 'LDM' of Yamada conductive but to provide insight as to what size to make the pores of the porous material already taught by Miyazawa.

Appellant argues Yamada teaches away from the combination with Miyazawa, since "it has no suggestion to use any particular porous materials inside the active regions of the fuel cell that transport gases and liquids concurrently." (Page 6 of brief). First, Miyazawa already teaches using "particular porous materials inside the active regions of the fuel cell that transport gases and liquids concurrently." Second, the Yamada reference teaches a fuel cell using capillary action to supply reactants to all elements of the fuel cell and remove unwanted products, such as water, from the cathode (Abstract). Yamada teaches in figure 1, "the fuel electrode (2) and the oxidizing electrode (3) are both formed of a conductive porous material so as to permit flow there through of the fuel and oxidizing gas" (Fig.1; 15:18-22). The porous cathode and other porous layers are constructed such that the effect is "the removal of the water formed on the oxidizing electrode" (10:46-47). Each layer has a different porosity such that the pore size decreases in size in the direction the water is to travel (9:67-10:17,

Art Unit: 1795

10:39-59). Therefore, Yamada does teach particular pore sizes for the porous material in the active region of the fuel cell. The limitation is rendered obvious over the teachings of Miyazawa and Yamada.

Appellant argues Yamada does not suggest, “bifurcating porous layers into an LDM and FDL, which each handle both liquids and gases.” As discussed above, Miyazawa already teaches that limitation and the Yamada reference teaches how to make the porosity of the two layers pull water away from the cathode layer for more efficient operation of the fuel cell. So the combined teachings of Miyazawa in view of Yamada teach a fuel cell with two porous layers that are equivalent in structure and perform the same operation as appellant’s claimed porous layers. Therefore, the appellant’s claimed porous layers are obvious over the teachings of Miyazawa and Yamada.

Appellant alleges, “Miyazawa is silent with regard to electrical conductivity”. In paragraphs [0019 & 0057], Miyazawa teaches the gas diffusion layer (appellant’s FDL) is made of a carbon material (conductive) and in paragraph [0036] the hydrophilic layer (appellant’s LDM) has electrical-conductive properties. So Miyazawa does teach two porous electrically conductive layers.

B. Appellant argues the combination of references does not teach an electrically conductive path through the liquid distribution media (LDM) as recited in claim 3.

Miyazawa teaches coating an electrically conductive hydrophilic layer on the electrically conductive separator ([0036]). The hydrophilic layer is then removed from

Art Unit: 1795

the very top surface of the separator's protrusions so the hydrophilic layer is left on the sidewalls and bottom surface of the separator (Fig. 2). When this top layer is removed, the edge portion of the hydrophilic layer on the sidewalls is even with the top surface of the separator. When the fuel cell is assembled and the gas distribution layer (appellant's FDL) is set on top of the separator, this edge portion of the hydrophilic layer on the sidewall will contact the FDL, thereby making both a fluid and electrical connection. Miyazawa discusses this process in paragraphs [0035-0042].

Appellant's arguments against the Yamada reference are not pertinent since two electrically conductive porous layers are already taught by the Miyazawa reference. As discussed above, the Yamada reference teaches how to make multiple layers have different pore sizes such that the water is pulled away from the cathode.

C. Applicant argues neither reference teaches a liquid distribution media (LDM) overlying substantially all the major surface of the electrically conductive impermeable element of claim 6.

Miyazawa teaches covering at least three quarters of the surface of the separator (electrically conductive impermeable element) (Fig. 2). Since no definition or criticality is given as to what constitutes "substantially all the major surface", the at least three quarter coverage taught by Miyazawa renders obvious this limitation.

D. Appellant argues the references do not teach a LDM forming an undulating surface as recited in claim 8.

The prior art of Miyazawa teaches a hydrophilic layer (LDM) that follows the undulating surface of the separator plate that it coats. Therefore, the hydrophilic layer

Art Unit: 1795

(LDM) also has an undulating surface. The claim limitation does not require the top of the separator lands to be covered. The limitation states the LDM “has an undulated configuration of peaks and valleys, wherein said peaks correspond to lands and said valleys correspond to grooves”, as such the peak of the hydrophilic layer goes up the side of the land and meets the top of the land and therefore corresponds to the land and the valley of the hydrophilic layer is in the groove and therefore corresponds to the groove. The teachings of Miyazawa and Yamada render obvious the claimed invention.

E. Appellant argues the cited references fail to support the liquid distribution layer comprising two distinct layers.

As discussed in the above rejection of claim 12, Yamada does teach using two layers having different pore sizes to pull the water away from the cathode. By using different layers with different pore sizes, the rate at which the water is drawn from the previous layer can be varied (9:60-10:20, 10:39-60, 39:2-27). Furthermore, the separation of an integral component into separate components would be obvious to one of ordinary skill in the art at the time of the invention.

F. Appellant argues claim 22 is not rendered obvious by the combination of Miyazawa in view of Yamada and further in view of Davis, for the same reasons set forth above in the context of claim 1. As such, the remarks to the arguments of claim 1 are incorporated herein. Therefore, the teachings of the prior art render obvious the claimed invention of claim 22.

Appellant filed new arguments in reply to the new rejections in the Examiner's Answer filed 7/11/07. As noted by appellant the new rejections were not new rejections

but elaborations on previously presented rejections over the same art as has been applied though the examination.

The following reply is in response to the new arguments presented by the appellant in the Reply Brief of 9/11/07. While the same argument is repeated though out the reply brief, the subject matter of the argument will only be addressed once here.

Applicant argues "selectively picking certain aspects of the prior art, while ignoring other aspects of the teachings of the same prior art, fundamentally defies an obviousness inquiry and is verboten." (Page 7 of Reply Brief, 1st paragraph). While it is unclear exactly what "aspects of the teachings of the same prior art" are ignored, the rejections of the instant claims is made over the combined teachings of Miyazawa, Yamada and Davis. The arguments presented address the references individually and do not rebut the combined teachings of the references.

Appellant argues Miyazawa doesn't teach "providing an overall hydrophilic membrane 14 (as opposed to one of several material contained the coating) having sufficient electrical conductivity to be employed within an active area of a fuel cell" (Page 8 of Reply Brief, bottom of 1st paragraph). These features are not required by the instant claims. For instance, nothing in the claims requires an overall layer as opposed to one of several materials. "Sufficient electrical conductivity" is also not recited in the claims or part of the instant disclosure.

Appellant argues multiple times that Yamada doesn't teach an electrically conductive wicking material and only teaches a non-conductive wicking material since a conductive material would short-circuit the fuel cell. In the Yamada reference, all

Art Unit: 1795

passages cited by the appellant to support Yamada teaching a non-conductive wicking material is true, for that particular part of the fuel cell. In all of the cited passages, Yamada teaches placing a wicking material across the edge portion of all the electrodes, anode and cathode, in the stack so the water can be drawn away from the electrodes. If this material was conductive, the fuel cell would short out since it would be equivalent to placing a conductor across the positive and negative terminals of a battery. In a similar manner, Yamada teaches supplying the fuel cell with another wicking material that lays across all of the edge portions of the electrodes in the fuel cell stack and supplies the anode with fuel. This wicking material used to supply the fuel is not conductive for the same reason. However, Yamada's invention is drawn to creating a fuel cell that can operate using capillary action to supply the fuel and oxidant to the fuel cell and to remove the effluent (i.e., water) from the fuel cell. Using the cathode as an example, Yamada teaches forming the cathode "itself with a porous member and effect the removal of the water formed on the oxidizing electrode by the capillarity manifested by the porous member." (Yamada, 10:45-50). The electrodes are also taught to be conductive, "Here, the fuel electrode 2 and the oxidizing electrode 3 are both formed of a conductive porous material" (Yamada, 15:19-20). Yamada again teaches the conductive and porous nature of the electrodes, "the fuel electrodes (porous material of such metal as nickel) have an average pore diameter of about 30 microns. As respects the path for recovery of water, the oxidizing electrode (porous material of such a metal as nickel)" (Yamada, 39:17-21).

So, while one part of the fuel cell is taught to be non-conductive, as pointed out by appellant, when the whole reference is taken into consideration, Yamada teaches not only the appropriate times to make and use a non-conductive wicking material but also when to make and use a porous conductive wicking material. Therefore, Yamada teaches that an appropriate time to use the porous conductive wicking material is for materials in the 'active flow field'. (Appellant's term on page 9 of Reply Brief). Furthermore, as discussed in the Examiner's Answer of 7/11/07 the porous conductive layers located in the 'active flow field' are already taught by Miyazawa and the Yamada reference is used to teach pore sizes, which do not depend on the conductivity of the material. The combined teachings of Miyazawa and Yamada obviate the claimed invention.

Appellant argues a liquid distribution media overlying substantially all of the major surfaces of the electrically conductive impermeable element is not taught by the prior art. Applicant states that the drawings are only "a diagrammatic representation of the device and does not necessarily represent accurate dimensions." (Page 12 of Reply Brief). However, appellant uses the same drawings to refute the 75% coverage by saying the drawing only covers 50% of the surface. Therefore, while the drawings are not necessarily to scale, it would be obvious to one skilled in the art to understand from the drawings how much of the surface is covered by the liquid distribution media. So appellant states only 50% of the conductive element is covered since the material is removed from the top of the ribs. Appellant has not included the sides of the undulating surface and so three of the four available surfaces are covered and therefore 75%

Art Unit: 1795

(substantially all the major surface) of the electrically conductive impermeable element is covered.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to KEITH WALKER whose telephone number is (571)272-3458. The examiner can normally be reached on Mon. - Fri. 8am - 5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Patrick Ryan can be reached on 571-272-1292. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Keith Walker/
Examiner, Art Unit 1795